

2181 #2

DOCKET NO. MS190438.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **Matthew Charles Priestley, et al.**
Serial No.: **10/083,010**
Filed: **February 26, 2002**
For: **SYSTEM AND METHOD TO PACKAGE SECURITY CREDENTIALS FOR LATER USE**
Art Unit: **2181**
Examiner: **Unknown**

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) Within 3 months of the filing date or date of entry into the National Stage.

(b) Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R.

(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) The required certification is given below, or

(2) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement.

(d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

4. Certification (if applicable)

(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

(b) The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

Respectfully Submitted,
AMIN & TUROCY, LLP

By 

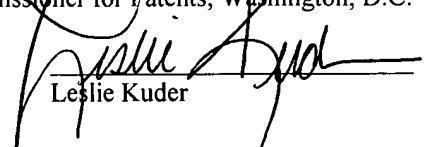
Himanshu S. Amin
Reg. No. 40,894

AMIN & TUROCY, LLP
24TH Floor, National City Center
1900 E. 9th Street
Cleveland, Ohio 44114

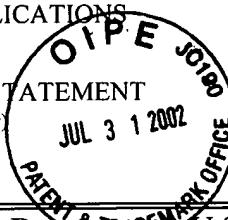
CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

Date: 7.25.2002


Leslie Kuder

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)



Applicant: Matthew Charles Priestley, et al.

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2/26/2002

Group 131

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REFERENCE DESKS & TRADEMARKS U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5	6	0	4	4	9	0		2/18/1997	Blakley, III, et al.	340	825.31	9/9/1994
	AB	5	7	5	7	9	2	0		5/26/1998	Misra, et al.	380	25	3/13/1997
	AC	5	7	6	4	8	8	7		6/9/1998	Kells, et al.	395	186	12/11/1995
	AD	5	8	0	2	2	7	6		9/1/1998	Benantar, et al.	395	186	1/3/1996
	AE	5	8	7	5	2	9	6		2/23/1999	Shi, et al.	395	188.01	1/28/1997
	AF	5	9	9	9	7	1	1		12/7/1999	Misra, et al.	395	187.01	7/18/1994
	AG	6	0	5	2	7	8	5		4/18/2000	Lin, et al.	713	201	11/21/1997
	AH	6	3	4	9	3	3	8		2/19/2002	Seamons, et al.	709	229	3/2/1999
	AI	6	3	7	7	9	9	4		4/23/2002	Ault, et al.	709	229	4/15/1996
	AJ	6	4	0	1	2	1	1		6/4/2002	Brezak, Jr., et al.	713	201	3/15/2000

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AK							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AL		Kyung-Ah Chang, et al. "CORBA-based Fair Contracts Support System for Subjects of Electronic Commerce" <u>IEEE International Workshops on Parallel Processing Proceedings</u> , 1999, p. 1-6
AM		Michael Hitchens, et al. "Design Choices for Symmetric Key Based Inter-Domain Authentication Protocols in Distributed Systems" <u>Proceedings of the 12th Annual Computer Security Applications Conference (ACSA)</u> , 1996, p. 105-116

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Modified)		Atty Docket No.: MS190438.1	Serial No.: 10/083,010
<p style="text-align: center;">LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)</p>			
		<p style="text-align: center;">JUL 31 2002</p> <p style="text-align: center;">PATENT & TRADEMARK OFFICE</p>	
		<p style="text-align: center;">Applicant: Matthew Charles Priestley, et al.</p>	
		Filing Date: 2/26/2002	Group: 2181 AUG 02 2002

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

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EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA											
	AB											
	AC											
	AD											

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AE							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AF		Peter Sewell, et al. "Secure Composition of Untrusted Code: Wrappers and Causality Types" IEEE, 2000, p. 1-16
AG		John G. Brainard, "SecurSight: An Architecture for Secure Information Access", RSA Laboratories, p.1-9
AH		Neil Ching, et al. "Authorization in the Digital Library: Secure Access to Services Across Enterprise Boundaries" IEEE Proceedings of ADL 1996, p. 110-119

EXAMINER	DATE CONSIDERED
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